ATTORNEYS AT LAW

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RESPONSE TO REQUEST FOR PROPOSAL FOR PROFESSIONAL LEGAL SERVICES AS AUTHORITY'S MUNICIPAL SOLICITOR FOR THE WEST GOSHEN SEWER AUTHORITY

SUBMITTED BY LAMB MCERLANE PC

By: Mark P. Thompson 24 East Market Street P.O. Box 565 West Chester, PA 19381-0560

> General (610) 430-8000 Direct (610) 701-4407 Cell (610) 745-9235 Fax (610) 692-6210

Submitted to: Theodore Murphy, Chair West Goshen Sewer Authority 848 South Concord Road West Chester, PA 19382 Email: tmurphy@wgtsa.org

Date of Proposal: December 1, 2020

24 E. Market Street | PO Box 565 | West Chester, PA 19381 | Phone: 610.430.8000 | Fax: 610.692.0877 One South Broad Street | Suite 1500 | Philadelphia, PA 19107 | Phone: 215.609.3170 | Fax: 610.692.0877 3405 West Chester Pike | Newtown Square, PA 19073 | Phone: 610.353.0740 | Fax: 610.353.0745 www.lambmcerlane.com

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I. CONTACT INFORMATION

Principal Attorney to the Authority:

Mark P. Thompson, Esquire LAMB MCERLANE PC 24 East Market Street P.O. Box 565 West Chester, PA 19381-0560 <u>mthompson@lambmcerlane.com</u> Direct (610) 701-4407 Cell (610) 864-0277 Fax (610) 692-6210

As of January 2021, the principals and associates at Lamb McErlane who are specifically proposed to perform work for the West Goshen Sewer Authority include the individual attorneys listed below, with the expectation that other members of the firm may be called upon to provide services where necessary in order to assure that Authority's matters are addressed in a responsive and knowledgeable manner at all times.

We will attend all requested meetings as may be required by the Board. Mark P. Thompson will serve as the primary attorney.

II. FEE PROPOSAL

We bill monthly for our time and disbursements. My standard billing rate is \$380.00 per hour. Our hourly rates range from \$600.00 for our most experienced partners to \$240.00 for our most junior associates and \$150.00 per hour for paralegals. These rates annually change. Recent increases have averaged about 3% per year.

Our standard practice is to offer a reduced rate for our municipal clients. We would propose to West Goshen Sewer Authority for 2021 a reduced, blended rate of \$250.00 per hour for general legal services for all partners and associates and \$150.00 per hour for paralegals. We reserve the right to negotiate a flat, lump sum fee for any bond transaction, and the right to negotiate a different hourly rate for any other specialized service. These fees are firm and unqualified. Our rate reflects the fact that due to the depth of our experience in authority and regulatory matters, we can and do provide our services in a most economical fashion.

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There is hardly a matter for which we do not have past experience, and we are committed to working with our municipal clients to ensure that our services are rendered within the confines of established, pre-disclosed and negotiated legal budgets for all matters. Our billing programs can also be easily structured to mesh with the Authority's billing practices in order to maximize the rebilling of legal fees, where appropriate.

We customarily charge our time in quarter hour increments for billing efficiency.

We bill on a monthly basis and it is our policy to require payment of invoices within thirty (30) days of the date of the invoice. Thereafter, an interest charge of 1 1/2% per month will be assessed against invoices which are outstanding for more than forty-five (45) days. We reserve the right to terminate our representation if payment is not received within sixty (60) days of the date of a statement and you agree not to contest our withdrawal from any court or administrative proceeding if payment has not been received within sixty (60) days of the date of a statement.

Normally, a retainer is required for all cases at the time of our retention. This retainer must be kept intact (held in escrow) and not used to offset our billings until our representation is concluded. The retainer will be waived for the West Goshen Sewer Authority.

The client is responsible for payment of all costs associated with our representation including but not limited to: court costs, filing costs, expert fees, long distance telephone charges, federal express or courier service, delivery charges, photocopying costs, travel expenses and any computer research. There is no charge for e-mail or fax documents or any word processing charges.

III. EXECUTIVE SUMMARY AND FIRM CAPABILITIES

<u>Municipal Authority Practice</u>. Lamb McErlane has taken a leadership role in representing numerous municipal authorities throughout Southeastern Pennsylvania and beyond. The firm serves as "general counsel" to these authorities, representing them in all issues related to their public purpose, including land use, financing, employment, discipline, right to know, and public bidding and contracting. The firm's municipal authority solicitorships include:

Uwchlan Township Municipal Authority Pottstown Borough Authority Valley Forge Sewer Authority Oxford Area Sewer Authority Caln Township Municipal Authority New Garden Township Sewer Authority Chester County Water Resources Authority Honey Brook Borough Authority Chester County Solid Waste Authority

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Chester County Industrial Development Authority Central and Western Chester County Industrial Development Authority Willistown Land Conservation Authority Oxford Area Recreation Authority

SPECIAL EXPERIENCE

<u>Environmental Practice</u>. Attorneys in the Municipal Law Department offer environmental law expertise including practice before the PaDEP and Environmental Hearing Board. Bringing their experience to bear as assistant counsel to the Pennsylvania Department of Environmental Resources, the attorneys guide the group in offering reliable legal advice on all aspects of environmental law including Act 537 Sewage Facilities Planning, NPDES, Water Quality Management, DRBC docketing, and other regulatory permitting and compliance programs, and enables municipal authority clients to take proactive measures to adhere to current and anticipated regulatory issues.

<u>Municipal Finance</u>. Unique among its local peers, Lamb McErlane is one of the only local firms qualified to provide bond counsel services. The firm's Public Finance team is headed by Tim O'Neill, who brings more than 40 years of experience to this specialized field. Lamb McErlane's bond practice includes services as Underwriter's Counsel, Trustee's Counsel, and Issuer's Counsel in which roles they have been involved in hundreds of issues whose worth is valued in the billions. Lamb McErlane has been recognized among the top 10 bond counsel firms in Pennsylvania in *The Bond Buyer*, a nationally syndicated newspaper specializing in public finance issues. Our unique position with in-house bond counsel has helped to moderate financing costs for our municipal authority clients, and has assisted with day-to-day municipal financing consultations.

<u>Sale of Sewage Systems</u>. Lamb McErlane has considerable experience in the monetization of sewer system assets. The Firm has represented municipal authorities and municipalities in the sale of their sewer systems to public utilities and municipal authorities. These representations have involved the preparation of detailed Requests for Qualifications, Requests for Proposals, engagement of experts where necessary, negotiations of Asset Purchase Agreements, proceedings before the Pennsylvania Public Utilities Commission and preparations for closing. The Firm is also assisting two municipal authorities at present involving the sales of wastewater system assets by partner municipalities served by their treatment plants.

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IV. STAFFING PLAN

The following individuals are specifically proposed to provide services to the West Goshen Sewer Authority. Resumes for each of the following individuals are attached. In addition to the following individuals all members of the Municipal Law Department and other members of the firm are available during any and all business days throughout the year to serve the Authority.

Mark P. Thompson, Partner

Mr. Thompson is a firm partner and will directly provide legal services to the West Goshen Municipal Authority. Mr. Thompson will attend Authority and other meetings, when requested, and will be the main firm contact, essentially serve as general counsel. Mr. Thompson has over 20 years of municipal authority experience and personally serves as Solicitor for the Uwchlan Township Municipal Authority, and has represented the Chester County Solid Waste Authority, Pottstown Borough Authority, Valley Forge Sewer Authority and Caln Township Municipal Authority in numerous matters involving all aspects of municipal authority law, contract law, public utilities, land use law, and other areas that may from time to time arise in authority operations.

Helen Esbenshade, Partner

Ms. Esbenshade is a firm partner. Ms. Esbenshade will also be available to assist with Authority legal matters as needed. She will provide municipal finance advice on an ongoing basis and bond services, if and when requested. Ms. Esbenshade represents the Chester County Water Resources Authority and Honey Brook Borough Authority, as well as the Chester County Industrial Development Authority and the Central and Western Chester County Industrial Development Authority.

Max O'Keefe, Esquire

Mr. O'Keefe is a firm partner and will directly provide legal services to the Authority and will attend meetings when requested.

William R. Christman III, Associate

Mr. Christman is a firm associate concentrating his practice in municipal law matters. Mr. Christman will be available to assist with Authority legal matters as needed.

V. FIRM EXPERIENCE

All of the Firm's wastewater clients operate integrated sewage treatment plant and/or sewage collection systems. The Pottstown Borough Authority and Valley Forge Sewer Authority each own and operate 9 mgd sewage treatment plants with direct discharges to the Schuylkill River,

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and sewage collection systems providing service to multiple municipalities. These Authorities administer numerous intermunicipal agreements. The Valley Forge Sewer Authority is comprised of three member townships with a Board consisting of two members appointed by each township, with five additional partner municipalities. Pottstown Borough Authority serves three partner municipalities in addition to Pottstown Borough. Oxford Area Sewer Authority is comprised of four municipalities including Oxford Borough with a Board consisting of two members appointed by each municipality and operates sewage spray irrigation and lagoon system and a sewage collection system. Caln Township a Municipal Authority owns a sewage collection system, with agreements with a regional municipal authority and a public utility for ultimate wastewater treatment and disposal. New Garden Township Sewer Authority owns and operates two sewage spray irrigation and lagoon systems, a sewage collection system, and also administers intermunicipal agreements with two other municipalities for wastewater treatment and disposal. The Firm has assisted these authorities in matters involving expansions and acquisitions, regulatory compliance, real estate, condemnation, contracting, personnel, financings and governmental affairs. The firm attorneys are associate members of the Pennsylvania Municipal Authorities Association (PMAA).

VI. LOCATION OF OFFICE

Lamb McErlane maintains offices in West Chester, Newtown Square and Philadelphia. We propose to perform services related to representation of West Goshen Sewer Authority from our West Chester Office:

24 E. Market Street P.O. Box 565 West Chester, PA 19381

Lamb McErlane currently has 40 attorneys practicing in a variety of legal areas.

With our representation of New Garden Township, Franklin Township, West Nottingham Township, West Grove Borough, Oxford Area Joint Recreation Authority, Oxford Area Sewer Authority and special counsel to Kennett Consolidated School District on property tax matters, the firm has a strong presence in the Southern Chester County Area.

VII. REFERENCES

We invite you to reach out to the manager/executive director/board chairman of any of our existing clients listed above to learn first-hand the level of service we provide to those municipal authorities. Specifically, but without limitation, we offer the following contact email information for wastewater authorities represented by the Firm and Mark P Thompson.

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Current clients for whom services have been provided for at least three (3) years:

Uwchlan Township Municipal AuthorityScott Greenly, ManagerChairman, Joseph Ferry610-363-9450

Pottstown Borough Authority Jeff Chomnuk, Chairman <u>JChomnuk@pottstown.org</u> Justin Keller, Authority Manager <u>JKeller@pottstown.org</u> 610-970-6500

Valley Forge Sewer Authority Norm Vutz, Chairman <u>nvutz38@gmail.com</u> Len Pinchok, General Manager <u>LPinchok@vfsa.com</u> 610-935-1553

Caln Township Municipal Authority George Chambers, Chairman <u>gchambers@calntownship.org</u> Scot Gill, Director of Wastewater Operations <u>sgill@calntownship.org</u> 610-384-0600

Charlestown Township Frank A. Piliero, Chairman, Board of Supervisors <u>fpiliero@charletown.pa.us</u> Linda Csete, Township Manager <u>admin1@charlestown.pa.us</u> 610-240-0326

Franklin Township Mary McVeigh, Chairman Board of Supervisors <u>mmcveigh@franklintownship.us</u> Joan McVaugh, Township Manager <u>jmcvaugh@franklintownship.us</u> 610-255-5212

Former clients for whom services have been provided within the past seven (7) years:

Tredyffrin Township William Martin, Township Manager <u>tredyffrin@tredyffrin.org</u> 610-644-1400

East Fallowfield Township Scott Swichar, Township Manager <u>sswichar@eastfallowfield.org</u> 610-384-7144

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VIII. and IX. ONGOING INVESTIGATIONS AND/OR LITIGATION MATTERS

There are no ongoing investigations and/or litigation matters involving the firm or its attorneys related to the performance of the firm in any area of expertise. Lamb McErlane has not been the defendant in any litigation involving the sum of \$100,000 or more over the last 3 years.

There has been no disciplinary action over the last 3 years and there is currently no disciplinary action pending against any attorney at the firm.

X. CONFLICTS

Mr. Thompson currently serves as the solicitor for the West Goshen Zoning Hearing Board and we see no conflict with that representation and that of the Authority. In addition, the Firm serves as solicitor to West Whiteland Township which has sewer flows into the West Goshen system, however we do not see any current conflicts based on that relationship.

We are unaware of any other conflicts.

SUMMARY

We look forward to delivering a superior, cost effective and rewarding legal experience to you.

Respectfully submitted,

LAMB MCERLANE PC

Bv:

Mark P. Thompson

Attachments:

Resumes of Mr. Thompson, Ms. Esbenshade, Mr. O'Keefe and Mr. Christman U.S. News & World Report "Best Lawyers – Best Law Firms 2021" Certificate of Liability Insurance

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PRACTICE AREAS:

Municipal Law

Land Use Law

Administrative Law

Environmental Law

Real Estate Tax

ADMISSIONS:

Supreme Court of Pennsylvania

Supreme Court of New Jersey

United States District Court for the Middle District of Pennsylvania

U.S. District Court for the District of New Jersey

EDUCATION:

The University of Pittsburgh School of Law, JD

The Pennsylvania State University, BS - Environmental Resource Management

Rated By Super Lawyers

Mark P. Thompson

Partner direct: 610.701.4407 fax: 610.692.6210 mthompson@lambmcerlane.com

A member of the Lamb McErlane Municipal Group since 2001, Mark Thompson concentrates his practice on municipal, land use, environmental law and property tax assessment matters.



Mark handles a wide variety of municipal, land use, administrative and environmental law matters and advises townships, boroughs, school districts and municipal authorities, as well as corporations and individuals.

With his experience in the Pennsylvania Environmental Hearing Board and on the Pennsylvania Department of Environmental Protection, Mark also counsels clients in the areas of solid waste, water, sewage and environmental litigation.

Mark served as a law clerk at the Pennsylvania Department of Environmental Protection's Southeast Regional Counsel in Conshohocken and Southwest Regional Counsel in Pittsburgh. He also served as a law clerk with the Honorable Robert J. Cindrich of the U. S. District Court for the Western District of Pennsylvania.

Prior to joining Lamb McErlane, Mark served as assistant counsel to the Pennsylvania Environmental Hearing Board in Harrisburg, where he worked directly for the Honorable Bernard A. Labuskes, Jr. Mark previously wrote a monthly municipal law column on current issues for the Pennsylvania Law Weekly.

COMMUNITY SERVICE & AFFILIATIONS:

- Solicitor for the following municipalities:
 -Charlestown Township
 -Franklin Township
- Solicitor for Uwchlan Township Municipal Authority
- Solicitor for the West Goshen Township Zoning Hearing Board
- Board of Directors Greater West Chester Chamber of Commerce
- Board of Directors Housing Partnership of Chester County
- Chairman West Chester Borough Citizen's Financial Advisory Committee
- Board of Directors West Chester Downtown Foundation
- Member -Comprehensive Plan Task Force Committee for the Borough of West Chester
- Member Stormwater Utility Implementation Advisory Committee for the Borough of West Chester

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PRACTICE AREAS:

Municipal Law

Municipal Finance

Zoning & Land Use Law

ADMISSIONS:

Supreme Court of Pennsylvania

U.S. District Court for the Eastern District of Pennsylvania

EDUCATION:

Villanova University School of Law, JD

The Pennsylvania State University, BS

DISTINCTIONS:

Named in *Best Lawyers in America* for Municipal Law since 2011

Partner direct: 610.701.4412 fax: 610.692.6210 hesbenshade@lambmcerlane.com

Helen J. Esbenshade

Helen concentrates her practice in local government representation, zoning and land use, land acquisition and finance.



She serves as a trusted advisor to local governments, authorities and non-profits, at times also representing developers, advising both organizations and individuals.

As solicitor to the Chester County Economic Development Council (CCEDC), Helen works with clients and their professional consultants to develop private developer agreements to promote economic development initiatives in cooperation with local governments and regulatory agencies. She assists her clients with administration of state and federal grant funding projects ranging from utility infrastructure to innovative technology.

As CCEDC counsel, Helen also assists with loan financing programs such as the Pennsylvania Building PA Program, Pennsylvania Industrial Development Authority and Pennsylvania Small Business Credit Initiative Program. She further serves as counsel to the South Eastern Economic Development Company of Pennsylvania where she handles loan transactions involving loan programs under the U.S. Small Business Administration and the Pennsylvania Small Business First Fund loan program.

Helen is also active in the public finance group of Lamb McErlane, where she has served as bond counsel, issuer's count, trustee's counsel and underwriter's counsel in connection with numerous municipal and industrial development authority tax-exempt bond financings.

COMMUNITY SERVICE & AFFILIATIONS:

- Member Chester County Bar Association
- Member Board of Directors of Brandywine Health Foundation
- Member Chester County Chamber of Business and Industry Government Affairs Council
- Chairman Advisory Council to Literacy Council of Lancaster-Lebanon for Imagination Library Program
- Solicitor or special counsel for the following municipalities, municipal authorities and other governmental and related entities:
 - Chester County Water Resources Authority
 - Chester County and Central and Western Chester County Industrial Development Authorities
 - Honey Brook Borough Authority
 - West Sadsbury Township
 - West Nottingham Township
 - Borough of West Grove
 - Borough of Atglen
 - Valley Township Zoning Hearing Board
 - Chester County Conference and Visitors Bureau
 - Chester County Conservation District
 - Chester County Economic Development Council

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PRACTICE AREAS:

Litigation

Municipal Law

General Civil Litigation

ADMISSIONS:

Supreme Court of Pennsylvania

Supreme Court of New Jersey

U.S. Eastern District Court

EDUCATION:

University of Miami School of Law, JD

The College of William and Mary, BA

Max O'Keefe

Partner direct: 610-430-8000 fax: 610-692-6210 mokeefe@lambmcerlane.com

Max is a partner in the litigation and municipal practice groups. As a member of Lamb McErlane's municipal law practice group, Max handles a wide variety of municipal, land use, property tax, administrative and environmental law matters, and advises townships, boroughs, school districts and municipal authorities, as well as corporations and individuals.



Max presently serves as the solicitor for the Borough of Downingtown, the Township of Sadsbury and the Southern Chester County Regional Police Department.

Prior to joining Lamb McErlane, Max worked for the Chester County District Attorney's Office as an Assistant District Attorney where he prosecuted hundreds of cases, including jury trials involving homicide, robbery, sexual assault and drug distribution charges.

Max relies upon his courtroom experience in representing municipal and private clients in complex enforcement and litigation matters.

COMMUNITY SERVICE & AFFILIATIONS:

- Chester County Opportunities Industrialization Center, Vice President
- William and Mary Philadelphia Region Alumni Board, Board Member
- Chester County Robert E. Stively Inn of Court, Board Member
- Chester County Bar Association, Member

AWARDS/RECOGNITION:

- Named a 2020 VISTA Millennial Superstar
- Named a Main Line Today Top Lawyer
- Named by Best Lawyers as "One to Watch"

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PRACTICE AREAS:

Municipal Law

Election Law

Business/Transaction Law

ADMISSIONS:

Supreme Court of Pennsylvania

Supreme Court of the United States

EDUCATION:

West Chester University of Pennsylvania, B.A. Political Science, cum laude

Widener University School of Law, J.D., magna cum laude

William R. Christman III Associate direct: 610.701.3271

fax: 610.692.6210

bchristman@lambmcerlane.com William "Bill" Christman is an associate with the firm. Bill concentrates his practice in municipal

law, election law and business/transaction law.



He specifically focuses on municipal, land use, property tax, administrative, finance, election law, business governance and transaction matters. Bill previously was the Assistant Director/Solicitor for Chester County Voter Services where he provided legal counsel to the Chester County Board of Elections and the Voter Services Department and assisted in administering elections. Bill also served as an Assistant Solicitor for the County of Chester, where he provided general legal counsel to various Chester County departments.

Bill earned his undergraduate degree from West Chester University and his J.D. from Widener University School of Law.

COMMUNITY SERVICE & AFFILIATIONS:

- Member, West Bradford Emergency Services Commission
- Former Member & Chairman, Board of Supervisors, West Bradford
 Township
- Member, Board of Directors, Domestic Violence Center of Chester County (DVCCC)
- Member, Rotary Club of West Chester
- Member, Friars' Society Alumni Association

AWARDS / RECOGNITION:

- Named a 2020 Main Line Today Top Lawyer
- Recognized by Best Lawyers in America: Ones to Watch for Municipal Law 2021

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Lamb McErlane PC Ranked Among the 2021 "Best Law Firms" By U.S. News & World Report / Best Lawyers.



Lamb McErlane PC ranks nationally in two practice areas and regionally in eleven practice areas among the "Best Law Firms" in the 2021 Edition of U.S. News & World Report and Best Lawyers[®]

Firms included in the 2021 Edition of U.S. News – Best Lawyers "Best Law Firms" are recognized for professional excellence with consistently impressive ratings from clients and peers.

Lamb McErlane PC is proud to have received the following rankings:

National Tier 2 Appellate Practice

National Tier 3 Public Finance Law

<u>Metropolitan Tier 1</u> <u>Philadelphia</u> Appellate Practice Municipal Law Workers' Compensation Law – Claimants

Metropolitan Tier 2

Philadelphia Commercial Litigation Family Law Health Care Law Trusts & Estates Law Metropolitan Tier 3 Philadelphia Banking and Finance Law Litigation - Labor & Employment Personal Injury Litigation - Plaintiffs Public Finance Law

West Chester | Philadelphia | Newtown Square 610.430.8000 | www.lambmcerlane.com Firms included in the 2021 Edition of U.S. News – Best Lawyers "Best Law Firms" are recognized for professional excellence with consistently impressive ratings from clients and peers.

To be eligible for a ranking, a firm must first have a lawyer recognized in The Best Lawyers in America©, which recognizes the top 5% of private practicing lawyers in the United States. Achieving a tiered ranking signals a unique combination of quality law practice and breadth of legal expertise.

The 2021 rankings are based on the highest lawyer and firm participation on record, incorporating 8.3 million evaluations of more than 110,000 individual leading lawyers from more than 22,000 firms.

ABOUT U.S. NEWS & WORLD REPORT U.S.

News & World Report is the global leader in quality rankings that empower people to make better, more informed decisions about important issues affecting their lives. A digital news and information company focused on Education, Health, Money, Travel, Cars and Civic, USNews.com provides consumer advice, rankings and analysis to serve people making complex decisions throughout all stages of life. More than 40 million people visit USNews.com each month for research and guidance. Founded in 1933, U.S. News is headquartered in Washington, D.C.

ABOUT BEST LAWYERS

Best Lawyers is the oldest and most respected lawyer ranking service in the world. For almost 40 years, Best Lawyers has assisted those in need of legal services to identify the lawyers best qualified to represent them in distant jurisdictions or unfamiliar specialties. Best Lawyers rankings are published in leading local, regional, and national publications across the globe.

ABOUT LAMB MCERLANE PC

Lamb McErlane PC, a full service regional law firm based in West Chester, Pennsylvania, with additional offices in Philadelphia and Newtown Square, has 42 attorneys and has built a reputation on delivering the highest caliber of legal service in an environment focused on personal attention and results. Bringing the sophistication and experience equated with large, metropolitan firms, Lamb McErlane's highly efficient, goal oriented and focused approach produces results that clients deserve.

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CERTIFICATE OF LIABILITY INSURANCE

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A Lawyers Professional			121 LPL 0000944-02		6/20/2020		Aggregate/Per Claim		5,000,000
B Excess Professional			100038902		6/20/2020	6/20/2021	Aggregate/Per Claim		3,000,000
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